

AMENDMENTS TO THE CLAIMS

This listing of claims will replace all prior versions, and listings, of claims in the application:

Listing of claims:

1. (currently amended) A method for creating an incentive for an entity to limit its accumulation of debt issued by a company, the method comprising:
issuing a debt in the form of a debt instrument by the company;
purchasing the debt by the entity;
determining a debt concentration threshold by the company;
associating a condition with the debt instrument, the condition changing at least one parameter of the debt instrument, the condition occurring ~~available~~ when the entity holds more of the debt than the debt concentration threshold; ~~and~~
changing the at least one parameter of the debt instrument upon the occurrence of the condition; and the company issuing a new debt instrument with the changed parameter.

Claims 2 – 4 (cancelled)

5. (previously presented) A method according to claim 1, further comprising monitoring holdings of debt instruments by entities.

6. (original) A method according to claim 1, further comprising changing the debt concentration threshold.

7. (original) A method according to claim 1, wherein the entity is an investor.

8. (original) A method according to claim 7, wherein the investor is an individual investor.

9. (original) A method according to claim 7, wherein the investor is an institutional investor.

10. (original) A method according to claim 1, wherein the entity is an affiliated group of investors.

11. (original) A method according to claim 1, wherein the entity is a group of investors acting in concert.

12. (original) A method according to claim 1, wherein associating the condition with the debt instrument occurs before issue of the debt instrument.

13. (original) A method according to claim 1, wherein associating the condition with the debt instrument occurs after issue of the debt instrument.

14. (original) A method according to claim 1, wherein the parameter changed by the condition serves to subordinate the debt instrument to other debt instruments.

15. (original) A method according to claim 1, wherein the parameter changed by the condition serves to restrict voting.

16. (original) A method according to claim 1, wherein the parameter changed by the condition serves to restrict redemption of the debt instrument.

17. (original) A method according to claim 1, wherein the parameter changed by the condition serves to change the series of the debt instrument.

Claims 18 – 120 (cancelled).

121. (previously presented) A method according to claim 1, wherein the debt concentration threshold is a percentage.

122. (previously presented) A method according to claim 1, wherein the debt concentration threshold is a number of shares.

123. (previously presented) A method according to claim 1, wherein the debt concentration threshold is a dollar amount.